

## Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial shareholder

To: Company name/ Scheme **PYC THERAPEUTICS LIMITED**ACN/ ARSN **098 391 961**

## 1. Details of substantial holder (1)

Name **BERNARD EDWARD FREDERICK HOCKINGS**ACN/ ARSN (if applicable) **N/A**There was a change in the interests of the substantial holder on **25/11/2019**The previous notice was given to the company on **11/11/2019**The previous notice was dated on **11/11/2019**

## 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present Notice	
	Person's votes	Voting Power (5)	Person's votes	Voting Power (5)
<b>ORDINARY SHARES</b>	<b>351,257,519</b>	<b>13.0%</b>	<b>351,559,571</b>	<b>11.98%</b>

## 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of Change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Persons votes affected
25/11/2019	N/A	DILUTION DUE TO SHARES ISSUED UNDER RETAIL ENTITLEMENT ISSUE	N/A	N/A	N/A
25/11/2019	JAMES HOCKINGS	SHARES ISSUED UNDER RETAIL ENTITLEMENT ISSUE	\$16,613	302,052 Ordinary shares	302,052

## 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Persons Votes
BERNARD EDWARD FREDERICK HOCKINGS	BERNARD EDWARD FREDERICK HOCKINGS	BERNARD EDWARD FREDERICK HOCKINGS	DIRECT	103,351,783 Ordinary Shares	103,351,783
B&D HOCKINGS	B&D HOCKINGS	B&D HOCKINGS	TRUSTEES OF SUPERANNUATION FUND	244,442,436 Ordinary Shares	244,442,436
B&D HOCKINGS	B&D HOCKINGS	ALEXANDRA HOCKINGS	DAUGHTER	2,708,172 Ordinary Shares	2,708,172
B&D HOCKINGS	B&D HOCKINGS	JAMES HOCKINGS	SON	1,057,180 Ordinary Shares	1,057,180

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (0) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

**6. Address**

The addresses of persons named in this form are as follows:

Name	Address
B and D HOCKINGS	Suite 20, 85 Monash Ave, Nedlands WA 6009

**Signature**

print name Bernard Hockings capacity Self

sign here



date 02/12/2019